

08/29/00  
JCE80 U.S. PTO

08-30-00

A

Please type a plus sign (+) inside this box → ☒

PTO/SB/05 (2/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |  |   |
|---|--|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | Attorney Docket No.                      | F-190   |
|   | First Inventor or Application Identifier | Robert A. Cordery, et al  |
|   | Title                                    | Secure User Certificate For Electronic Commerce Employing a Value Metering System |
|   | Express Mail Label No.                   | EE628583767US   |

|   |  |
|---|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text"/><br>(preferred arrangement set forth below)<br>- Descriptive title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to Microfiche Appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure   | 7. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies   |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text"/><br>4. Oath or Declaration [Total Pages <input type="text"/><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>(Note Box 5 below)<br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting<br>inventor(s) named in the prior application,<br>see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | <b>ACCOMPANYING APPLICATION PARTS</b><br>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>12. <input checked="" type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>14. <input type="checkbox"/> * Small Entity <input type="checkbox"/> Statement filed in prior application,<br>Statement(s) Status still proper and desired<br>(PTO/SB/09-12)<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>16. <input type="checkbox"/> Other: ..... |
| 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a<br>copy of the oath or declaration is supplied under Box 4b, is<br>considered to be part of the disclosure of the accompanying<br>application and is hereby incorporated by reference therein.   | <b>* NOTE FOR ITEMS 1 &amp; 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY<br/>FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT<br/>IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>   |

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 133,706  
Prior application information: Examiner S. Cangialosi Group / Art Unit: 2732

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

|         |   |            |            |
|---------|---|------------|------------|
| Name    | Pitney Bowes Inc.<br>Charles R. Malandra, Jr. |            |            |
| Address | 35 Waterview Drive                            |            |            |
| City    | Shelton                                       | State      | CT         |
| Country |   | Zip Code   | 06484-8000 |
|         | Telephone                                     | 2039243217 | Fax        |

|                   |                            |                                   |           |
|-------------------|----------------------------|-----------------------------------|-----------|
| Name (Print/Type) | Charles R. Malandra, Jr.   | Registration No. (Attorney/Agent) | 31,038    |
| Signature         | <i>Charles R. Malandra</i> | Date                              | 8-29-2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Pitney Bowes Inc.  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000  
August 29, 2000

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

For the application transmitted herewith

For: **SECURE USER CERTIFICATE FOR ELECTRONIC COMMERCE EMPLOYING VALUE  
METERING SYSTEM**

Docket Number F-190

Inventor: Robert A. Cordery, et al.

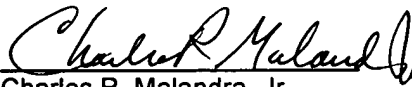
The filing fees, after entry of the Preliminary Amendment field herewith, are calculated as follows:

|                           |                 |        |                 |   |            |           |
|---------------------------|-----------------|--------|-----------------|---|------------|-----------|
| Basic Fee                 |                 |        |                 |   |            | \$ 790.00 |
| Claims Fee                | Number<br>Filed |        | Number<br>Extra |   | Rate       |           |
| Total Claims              | 2               | - 20 = | 0               | X | \$22.00 =  | \$ 00.00  |
| Independent Claims        | 1               | - 3 =  | 0               | X | \$82.00 =  | \$ 00.00  |
| Multiple Dependent Claims |                 |        |                 |   | \$270.00 = | \$        |
| Total Filing Fee          |                 |        |                 |   |            | \$790.00  |

Please charge our Deposit Account Number **16-1885** in the amount of \$ 790.00 for the filing fee.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account Number **16-1885**.

A copy of this Transmittal Letter is enclosed for use in charging the Deposit Account.

  
Charles R. Malandra, Jr.  
Reg. No. 31,038  
Attorney of Record  
Telephone (203) 924-3217

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: ) Attorney Docket No.: F-190  
Robert A. Cordery, et al. ) Group Art Unit: N/A  
Serial No.: N/A ) Examiner: N/A  
Filed: Concurrently herewith ) Date: August 29, 2000  
Title: SECURE USER CERTIFICATE FOR ELECTRONIC COMMERCE  
EMPLOYING VALUE METERING SYSTEM


**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 CFR 1.10, I hereby certify that the attached Patent Application, Drawings, CPA transmittal letter, Preliminary Amendment, Declaration and Power of Attorney was deposited with the U.S. Postal Service for delivery by Express Mail on August 28, 2000. The number of the Express Mail mailing label is EE628583767US.

Respectfully submitted,



Charles R. Malandra, Jr.  
Reg. No. 31,038  
Attorney of Record  
Telephone (203) 924-3217

PITNEY BOWES INC.  
Intellectual Property and  
Technology Law Department  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000

00660477-000000